



Docket No.: RIC00016

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

GALLANT et al.

Serial No.: 09/766,943

Filed: January 22, 2001

For: INTELLIGENT POLICY SERVER SYSTEM  
AND METHOD FOR BANDWIDTH CONTROL  
IN AN ATM NETWORK

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Group Art Unit: 2661

Examiner: Not Assigned

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted prior to the issuance of a first Office Action on the merits, therefore, no fee is due. A copy of the International Search Report is enclosed for the Examiner's convenience.

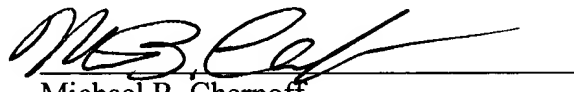
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491 and please credit any excess fees to such deposit account.

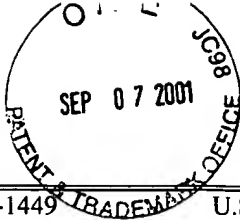
Respectfully submitted,

Date: 9/6/01



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|---|---|---------------------------------|--------------------------|
| FORM PTO-1449<br>(REV. 7-80)  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE. | ATTY. DOCKET NO.<br>RIC00016    | SERIAL NO.<br>09/766,943 |
| LIST OF DOCUMENTS CITED BY APPLICANT<br>(Use several sheets if necessary) |   | APPLICANT(S): GALLANT et al.    |                          |
|   |   | FILING DATE<br>January 22, 2001 | GROUP<br>2661            |

## U.S. PATENT DOCUMENTS

| * EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|-----------------------|--------------------|---------|-------------------|-------|----------|---------------------------------|
|                       | 5,473,679          | 12/1995 | La Porta et al.   |       |          |                                 |
|                       | 5,825,780          | 10/1998 | Christie          |       |          |                                 |
|                       | 5,819,019          | 10/1998 | Nelson            |       |          |                                 |
|                       | 5,946,323          | 08/1999 | Eakins et al.     |       |          |                                 |
|                       | 5,991,892          | 11/1999 | Honda             |       |          |                                 |
|                       | 5,996,001          | 11/1999 | Quarles et al.    |       |          |                                 |
|                       | 6,009,099          | 12/1999 | Lewis et al.      |       |          |                                 |
|                       | 6,134,673          | 10/2000 | Chrabaszez        |       |          |                                 |
|                       | 6,169,735          | 01/2001 | Allen, Jr. et al. |       |          |                                 |
|                       | 6,185,219          | 02/2001 | Christie          |       |          |                                 |
|                       | 6,195,714          | 02/2001 | Li et al.         |       |          |                                 |
|                       |                    |         |                   |       |          |                                 |
|                       |                    |         |                   |       |          |                                 |
|                       |                    |         |                   |       |          |                                 |
|                       |                    |         |                   |       |          |                                 |
|                       |                    |         |                   |       |          |                                 |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--------------------|------|---------|-------|----------|-------------|----|
|  |                    |      |         |       |          | YES         | NO |
|  |                    |      |         |       |          |             |    |
|  |                    |      |         |       |          |             |    |
|  |                    |      |         |       |          |             |    |
|  |                    |      |         |       |          |             |    |
|  |                    |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.